

**Ref. No. AAVAS/SEC/2018-19/297**

**Date: January 19, 2019**

<b>To,</b> <b>The National Stock Exchange of India Limited</b> <b>The Listing Department</b> <b>Exchange Plaza,</b> <b>Bandra Kurla Complex,</b> <b>Mumbai - 400051</b>	<b>To,</b> <b>BSE Limited</b> <b>Dept. of Corporate Services</b> <b>Phiroze Jeejeebhoy Towers,</b> <b>Dalal Street, Fort,</b> <b>Mumbai - 400001</b>
<b>Scrip Symbol: AAVAS</b>	<b>Scrip Code: 541988</b>

Dear Sir / Madam,

**Sub: Shareholding Pattern for quarter ended December 31, 2018**

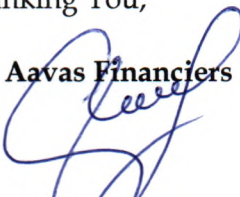
**Ref: Regulation 31(1) (b) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.**

Pursuant to captioned regulation, please find enclosed the Shareholding Pattern of Aavas Financiers Limited for quarter ended December 31, 2018.

This is for your information and records.

Thanking You,

For Aavas Financiers Limited

  
**Sharad Pathak**  
**Company Secretary & Compliance Officer**  
**(FCS-9587)**  
**Enclosed: As Above**



**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: AAVAS Financiers Limited
2.	Scrp Code/Name of Scrip/Class of Security: 541988
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) a. If under 31(1)(b) then indicate the report for Quarter ending 31st December, 2018 b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes *	No *
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.





**AAVAS Financiers Limited**

**Table I - Summary Statement holding of specified securities**

Category	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a % of total shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class		(ix) Total as a % of (A+B+C)	(x) No. of Underlying convertible securities (including Warrants)	(xi) #, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in Shares		(xii) As a % of total Shares held(b)	(xiii) Number of Shares pledged or otherwise encumbered	(xiv) Number of equity shares held in dematerialised form	
									Class eg: X	Class eg: Y Total				(a) total Shares held(b)	(a) total Shares held(b)				
(A)	Promoter & Promoter Group	3	45532830	0	0	0	45532830	58.2948	0	0	0	58.2948	45532830	100	0	0	0	0	45532830
(B)	Public	24428	32575071	0	0	0	32575071	41.7052	0	0	0	41.7052	7150016	21.9493	NA	NA	NA	0	32575071
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	24431	78107901	0	0	0	78107901	100	0	0	0	100	52682846	67.4488	0	0	0	0	78107901



**AAVAS Financiers Limited**

**Table II - Statement showing Shareholding pattern of the Promoter and Promoter Group**

1	Category & Name of the shareholders	PAN	Nos. of sharehold ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos- shares held	(VII) =	Sharehold ing As a % of (A+B+C2) 1957 As a % of (A+B+C)	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	As a % of total Shares held(b)	Number of Shares pledged or otherwise encumbered	As a % of total Shares held(b)	Number held in dematerialia listed form
										Class eg: X	Class eg: Y / Total								
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)	(s)	(t)
1	Indian	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a	No of Voting Rights held in each class		(ix)	(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	(xv)	(xvi)
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Bodies Corporate		3	45532830	0	0	45532830	58.2948	45532830	0	0	0	0	58.2948	45532830	100	0	0	45532830
	Lake District Holdings Limited	AADDC0471E	1	26801527	0	0	26801527	34.3135	26801527	0	0	0	0	34.3135	26801527	100	0	0	45532830
	Partners Group Escl Limited	AAHCPE6430B	1	13018256	0	0	13018256	16.667	13018256	0	0	0	0	16.667	13018256	100	0	0	13018256
	Partners Group Private Equity (Master Fund), Llc	AAICP5023H	1	5713047	0	0	5713047	7.3143	5713047	0	0	0	0	7.3143	5713047	100	0	0	5713047
	Sub Total (A2)		3	45532830	0	0	45532830	58.2948	45532830	0	0	0	0	58.2948	45532830	100	0	0	45532830
	Total Shareholding Of Promoter And Promoter Group (A)= (A1)+(A2)		3	45532830	0	0	45532830	58.2948	45532830	0	0	0	0	58.2948	45532830	100	0	0	45532830





**AAVAS Financiers Limited**

**Table III - Statement showing Shareholding pattern of the Public Shareholder**

Category & Name of the shareholders	PAN	Nos. of shares held	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VI) = (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) X	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C)	No. of Underlying Outstanding convertible securities (including Warrants)	Shareholding % as a percentage of diluted share capital	Number of Locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
								Class eg: Y	Class eg: Y Total				No. (a)	As a % of total Shares held (b)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (V) + (VI)	(VIII) As a % of (A+B+C2) X	(IX)	(X)	(XI) = (X) + (X)	(XII)	(XIII)	(XIV)	(XV)		
<b>1 Institutions</b>																
(a) Mutual Fund		9	8864792	0	0	8864792	11.3494	8864792	0	11.3494	0	11.3494	0	0	0	8864792
Sbi Equity Hybrid Fund	AA81T56407Q	1	5895898	0	0	5895898	7.5484	5895898	0	7.5484	0	7.5484	0	0	0	5895898
Dsp Equity & Bond Fund	AAAD00430B	1	1633094	0	0	1633094	2.0908	1633094	0	2.0908	0	2.0908	0	0	0	1633094
(b) Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Alternate Investment Funds		7	853931	0	0	853931	1.0933	853931	0	1.0933	0	1.0933	718539	84.1449	NA	853931
(d) Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Foreign Portfolio Investor		25	9029282	0	0	9029282	11.56	9029282	0	11.56	0	11.56	0	0	0	9029282
Amundi Funds Sbi Fm Equity India	AAACCT744E	1	1283963	0	0	1283963	1.6438	1283963	0	1.6438	0	1.6438	0	0	0	1283963
Bunara Vista Asian Opportunities Master Fund Ltd	AAFC85655R	1	1227906	0	0	1227906	1.5721	1227906	0	1.5721	0	1.5721	0	0	0	1227906
Hisk Global Investment Funds - Indian Equity	AAADCH964I	1	884780	0	0	884780	1.1328	884780	0	1.1328	0	1.1328	0	0	0	884780
Kotak Funds - India Midcap Fund	AAAGCK148I	1	830992	0	0	830992	1.0639	830992	0	1.0639	0	1.0639	0	0	0	830992
(f) Financial Institutions / Banks		2	5651369	0	0	5651369	7.2353	5651369	0	7.2353	5014746	88.7351	NA	NA	NA	5651369
Au Small Finance Bank Limited	AAAC12777N	1	5650909	0	0	5650909	7.2347	5650909	0	7.2347	5014746	88.7423	NA	NA	NA	5650909
(g) Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i) Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub Total (B)(1)		43	24399374	0	0	24399374	31.238	24399374	0	31.238	5733285	23.4977	NA	NA	NA	24399374
2 Central Government/ State Government(s)/ President of India																
Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3 Non-institutions																
(a) Individuals																
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.																
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		12	6316306	0	0	6316306	8.0866	6316306	0	8.0866	0	8.0866	1244159	19.6976	NA	6316306
Ghan Shyam Rawat	ACDR6745A		1083727	0	0	1083727	1.3875	1083727	0	1.3875	113945	10.5142	NA	NA	NA	1083727
Sushil Kumar Agarwal	ADNPAA448N		3228359	0	0	3228359	4.1332	3228359	0	4.1332	601306	18.6257	NA	NA	NA	3228359
(b) NBFCs registered with RBI		3	1403	0	0	1403	0.0018	1403	0	0.0018	0	0.0018	0	0	0	1403
Trust Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Overseas Depositor(s)(holding Drs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Any Other (Specify)		1233	851270	0	0	851270	1.0899	851270	0	1.0899	0	1.0899	0	0	0	851270
Trusts		1	688	0	0	688	0.0009	688	0	0.0009	0	0.0009	0	0	0	688
Hindu Undivided Family		965	22756	0	0	22756	0.0291	22756	0	0.0291	0	0.0291	0	0	0	22756
Non Resident Indians (Non Repat)		31	2186	0	0	2186	0.0028	2186	0	0.0028	0	0.0028	0	0	0	2186
Non Resident Indians (Repatriate)		61	4638	0	0	4638	0.0059	4638	0	0.0059	0	0.0059	0	0	0	4638
Clearing Member		140	32211	0	0	32211	0.0412	32211	0	0.0412	0	0.0412	0	0	0	32211
Bodies Corporate		85	788791	0	0	788791	1.0099	788791	0	1.0099	0	1.0099	0	0	0	788791
Sub Total (B)(3)		24385	8175697	0	0	8175697	10.4672	8175697	0	10.4672	1416731	17.3286	NA	NA	NA	8175697
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		24428	32575071	0	0	32575071	41.7052	32575071	0	41.7052	7150016	21.9493	NA	NA	NA	32575071

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of Shareholders	No. of Shares



**AAVAS Financiers Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the shareholders (i)	PAN (ii)	Nos. of sharehold ers (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underlyin g Depositor Receipts (vi)	Total nos. shares held (vii) =	Sharehold ing % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii) As a	Number of Voting Rights held in each class of securities			Shares Underlyin g Outstandi ng convertibl e securities (including convertible securities) (x)	ing, as a % of full converso n of convertibl e securities (xi) =	
									Class eg: X (ix)	Class eg: Y (x)	Total (A+B+C) (xi)			
1	Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0
2	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0

