## Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of	Name of Listed Entity: AAVAS Financiers Limited										
2.	Scrip Co	Scrip Code/Name of Scrip/Class of Security: 541988										
3.	Share Ho	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)										
	a.	If under 31(1)(b) then indicate the report for Quarter ending										
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment										
4.	Declarat	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-										

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

**AAVAS Financiers Limited** 

Table I - Summary Statement holding of specified securities

																		ĵ
								Number of		hts held in e	each class	1 1% 1		Number of shares	f Locked in	Number o pledged of encumber		
							Sharehold	No of Voti	ng Rights			No. of Shares Underlyin	full conversio n of convertibl					
							ing as a % of total					g Outstandi	e securities					
			1	No. of	No. of		no. of					ng	( as a					Number
İ			1	Partly	shares		shares					convertibl	percentag					of equity
			1 .	paid-up	underlyin		(calculate					е	e of		As a % of			shares
		1	up equity		g	1	d as per	1	1		1	1	diluted	ĺ	total		total Shares	held in
C	Category of shareholder	sharehold		shares held	Depositor y Receipts		SCRR, 1957)	Class on V	Class eg: y	1	% of (A+B+C)	(including Warrants)	1	No. (a)	Shares held(b)	No. (a)	held(b)	demateria lised form
Category	Category of snareholder	ers	neia	neia	y receipts	neid	195/)	Class eg. A	Class eg: y	TOTAL	(ATDTC)	warrants)	Capitali	140. (a)	neia(b)	140. (a)	(u)	liseu ioriii
																		1
													(XI)≈					
						(VII) =	(VIII) As a						(VII)+(X)					
						(IV)+(V)+	% of						As a % of					
(1)	(II)	(III)	(IV)	(V)	(VI)	(VI)	(A+B+C2)	+		IX)		(X)	(A+B+C2)	<del></del>	(II)	()	(111)	(XIV)
(A)	Promoter & Promoter Group	3	39313706		0		50.0730	39313706		39313706		0	<del></del>	15719023		0	0.0000	39313706
, ,	Public	30151	39199109	0	0	39199109	49.9270	39199109	0	39199109	49.9270	0	49.9270	0	0.0000	NA	NA	39199109
(C)	Non Promoter - Non Public	<u> </u>			0				0	ļ		0			0.0000	NA	NA	ļ
<u> </u>	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	10
	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	30154	78512815	[0	0_	78512815	100.0000	78512815	10	78512815	100.0000	0	100.0000	15719023	20.0209	Įυ	0.0000	78512815

Court (1)

Table II -	Statement showing shareholding pattern of the Pron	noter and	Promoter Gro	up															
							1		Number of		hts held in o	each class		Sharehold ing , as a %	Number of Locked in		Number o pledged o encumber		
			Nos. of sharehol	No. of fully paid up equity	1.	No. of shares underlyin g Depositor	Total nos.	Sharehold ing % calculated as per SCRR, 1957 As a	No of Voti	ng Rights		Total as a	g Outstandi ng	( as a percentag e of diluted		As a % of total Shares		As a % of total Shares	Number of equity shares held in demateria
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts		l		Class eg: y	Total	1	Warrants)		No. (a)	held(b)	No. (a)	held(b)	lised form
							(VII) = (IV)+(V)+	(VIII) As a % of						(VII)+(X) As a % of					
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VI)	(A+B+C2)		(1	X)		(X)	(A+B+C2)	()	(11)	()	III)	(XIV)
1	Indian			<u> </u>	1_		_			ļ	<u> </u>	0.0000	0	0.000		0.0000	0	0.0000	0
	Individuals / Hindu Undivided Family		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000	0	0.0000	<del> </del>
<del></del>	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	<u> </u>	0	0.0000		0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0		0.0000		0.0000	0		0	0.0000	10
	Any Other (Specify)		0	10	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		<del> </del>
	Sub Total (A)(1)		0	10	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	<del> </del>
2	Foreign										ļ			ļ					<del></del>
(0)	Individuals (Non-Resident Individuals / Foreign Individuals)	ŀ	۵				0	0.0000	ا	0	0	0.0000	0	0.0000	٥	0.0000	n	0.0000	0
			0	10	n	0	_	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Government	<del> </del>	0	10	n	0		0.0000	0	0	0	0.0000	0	0.0000	0		0	0.0000	0
(d)	Foreign Portfolio Investor		0	10	0	0	n	0.0000	0	0	<u> </u>	0.0000	0	0.0000	0		0	0.0000	0
<u> </u>	Any Other (Specify)		3	39313706			39313706		39313706		39313706		0		15719023		0	0.0000	39313706
	Bodies Corporate		3	39313706	<del></del>		39313706		39313706		39313706				15719023		0	0.0000	39313706
$\vdash$	Lake District Holdings Limited		1	23140827			23140827		23140827		23140827		0	29.4739	9352989	40.4177	0	0.0000	23140827
	Partners Group ESCL Limited		1	11240151		0	11240151		11240151		<del></del>	14.3163	0	14.3163	6366034	56.6366	0	0.0000	11240151
$\vdash$	Partners Group Private Equity (Master Fund), LLC		1	4932728	0	0		6.2827	4932728	0		6.2827	0	6.2827	0	0.0000	0	0.0000	4932728
	Sub Total (A)(2)		13	39313706	0		39313706		39313706	0	39313706	<u> </u>	0	50.0730	15719023		0	0.0000	39313706
	Total Shareholding Of Promoter And Promoter Group (A)=												-						
L	(A)(1)+(A)(2)	<u> </u>	<u> </u>	39313706	]0	To	39313706	50.0730	39313706	lo .	39313706	50.0730	To	50.0730	15719023	59.9836	<b>/</b>	0.0000	39313706

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

## Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

	Financiers Limited I - Statement showing shareholding pat	tern of the Pul	blic share	holder									_						
										of Votin		g Rights held in urities		Shareh	Number of Locked in shares		Shares pledged or otherwise encumbered		
														olding, as a %					
								Shareh olding	NO OT V	oting Rig	nts		No. of Shares Underl ying Outsta nding	assumi ng full convers ion of convert ible					
	Category & Name of the		Nos. of	No. of fully paid up equity shares	Partly paid-up equity	( ' 1	Total nos.	calculat ed as per SCRR, 1957 As a % of (A+B+C		Class		a % of	convert ible securiti es (includi ng Warran	securiti es ( as		As a % of total Shares		As a % hel	Number of equity shares held in demateri
	shareholders	PAN	olders	held	held	s	held	2)	eg: X	eg: y	Total	ì	ts)	capital)	No. (a)	held(b)	No. (a)		
	(1)	(II)	(111)	(IV)	(v)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C 2)					(x)	(XI)= (VII)+(X ) As a % of (A+B+C 2)		(II)	(XIII)		(XIV)
<u> </u>	Institutions			243302		-			243302		243302					<del> </del>		∔.—	
a)	Mutual Fund		14	243302	o	o	2433029	3.0989	9	o	9	3.0989	o	3.0989	O	0.0000	NA	NA	2433029
L1	UTI Flexi Cap Fund		1	1475187		0	1475187	1.8789				1.8789	0	1.8789	0	0.0000	NA	NA	1475187
b)	Venture Capital Funds		0	0 125399	0	0	0	0.0000	0 125399	0	0 125399	0.0000	0	0.0000	0	0.0000	NA	NA	0
c)	Alternate Investment Funds		10	6	0	0	1253996	1.5972	6	0	6	1.5972	0	1.5972	0	0.0000	NA	NA	1253996
(d)	Foreign Venture Capital Investors		0	0 252273	0	0	0 2522735	0.0000	0 252273	0	0 252273	0.0000	0	0.0000 32.131	0	0.0000	NA	NA	0 2522735
e)	Foreign Portfolio Investor		163	56	0	0	6	5	56	0	56	5	0	5	0	0.0000	NA	NA	6
	Smallcap World Fund, Inc		1	6075680		0	6075680	-	6075680		6075680		0		0	0.0000		NA	6075680
	Mother Fund Kotak Funds - India Midcap Fund	<u> </u>	1	1648187		0	1648187 1461478		164818		1648187		0	2.0993 1.8615	0	0.0000		NA NA	1648187 1461478
	Buena Vista Asian Opportunities		1	14014/8	<u>-</u>		14014/8	1.6015	14014/8	<u>-</u>	14014/8	1.0015	Ĭ	1.6015	,	0.0000	IVA	IVA	14014/8
	Master Fund Ltd		1	1098991		0	1098991		109899			1.3998	0	1.3998		0.0000		NA	1098991
f)	Financial Institutions / Banks		1		0	0	3383	0.0043	-	0	3383	0.0043	0	0.0043	0	0.0000	NA	NA	3383
g)	Insurance Companies		5	267711 9	0	0	2677119	3.4098	267711 9	0	267711 9	3.4098	0	3.4098	0	0.0000	NA	NA	2677119
	Tata Aia Life Insurance Co Ltd-Whole Life Mid Cap Equity Fund-Ulif 009		,	114505			111555	1 4504	11.4635		114000	1 4501		1.4501		0.0000	NA.	NA	1146352
	04/01/07 Wle 110 Sbi Life Insurance Co. Ltd		1	1146352		0	1146352 1048973					1.4601		1.4601	0	0.0000		NA NA	1048973
h)	Provident Funds/ Pension Funds		0		0	0	0	0.0000	0	0	0	0.0000			0	0.0000		NA	0
i)	Any Other (Specify)		0	0	0	0	0		0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		193	315948 83	0	0	3159488 3	40.241 7	315948 83	0	315948 83	40.241 7	0	40.241 7	0	0.0000	NA	NA	3159488 3
,	Central Government/ State Government(s)/ President of India							}											
·	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Non-Institutions																	-	<del> </del>
a)	Individuals i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		27818	227944 3	0	0	2279443	2.9033	227944	0	227944	2.9033	0	2.9033	0	0.0000	NA NA	NA NA	2279443
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		12	469626 0	0	0	4696260		469626 0	0	469626 0	5.9815		5.9815		0.0000		NA	4696260
	Ghanshyam Rawat			851588		0	851588		851588			1.0846		1.0846		0.0000		NA NA	851588 2746438
b)	Sushil Kumar Agarwal  NBFCs registered with RBI		1	2746438 3630	0	0	2746438 3630	3.4981 0.0046	2746438 3630	0		3.4981 0.0046		3.4981 0.0046		0.0000		NA NA	3630
	Trust Employee		6		0	0	275	0.0004		0	275	0.0004		0.0004		0.0000		NA	275
d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
							624645	0.7056			E24510	0 7056		0.7056		0.0000	NA.	NA	624618
c)	Any Other (Specify) Hindu Undivided Family		2121 589	624618 34578		0	624618 34578		624618 34578			0.7956 0.0440		0.7956 0.0440		0.0000		NA NA	34578
	Non Resident Indians (Non Repat)		296 604	61746 67384	0	0	61746 67384	0.0786	61746	0		0.0786		0.0786 0.0858		0.0000		NA NA	61746 67384
	Non Resident Indians (Repat)  Body Corporate-Ltd Liability-		304	07304	<b>'</b>	† <b>-</b>	37304	0.0000	37304	Ť	3.304	3.0000	-	3.0333	ř-				
	Partnership-DR		64		0	0	43190		43190	0	43190	0.0550		0.0550		0.0000		NA NA	43190 70929
	Clearing Member		99	70929	0	0	70929	0.0903	70929	0	70929	0.0903	0	0.0903	<u>u</u>	0.0000	INA	NA	10329
	Bodies Corporate		469	346791 760422		0	346791	0.4417	346791 760422	0	346791 760422	0.4417	0	0.4417	0	0.0000	NA	NA	346791
	1	<b>{</b>	20050	ſ	0	0	7604226	0 5052	6	O	6	9.6853	0	9.6853	0	0.0000	NA	NA	7604226
	Sub Total (B)(3)   Total Public Shareholding (B)=	ļ	29958	6 391991	<u>-</u>	ļ <sup>u</sup> ——	3919910			<del>-</del> -	391991		<del>'</del>	49.927	<del>                                     </del>	0.0000			3919910

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available



	Financiers Limited														***				
Table IV -	Statement showing shareholding pattern of the No	n Promoter- N	on Public sl	hareholde	r										<del></del>				
			Addition to the design of the second		-			l .	Number o	f Voting Rig es	hts held in	each class		%	Number of Locked in shares		Number of Shares pledged or otherwis encumbered		
				j					No of Voti	ng Rights			No. of Shares	assuming full conversio n of					
						No. of		Sharehold			ļ	Į I	Underlyin g Outstandi	e					Number
			Nos. of	No. of fully paid up equity	, ,	No. of shares underlyin	Total nos	calculated as per SCRR, 1957 As a	Į	}		Total as a	e	percentag e of diluted		As a % of		1	of equity
			sharehold	shares	shares	в Depositor	ı	% of	Class eg:			% of	(including	share		Shares		Shares	demateria
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts	held	(A+B+C2)	x	Class eg: y	Total	(A+B+C)	Warrants)	capital)	No. (a)	held(b)	No. (a)	held(b)	lised form
							(VII) = (IV)+(V)+							(VII)+(X) As a % of					
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VI)	(A+B+C2)		<del>,                                      </del>	X)	I	(X)	(A+B+C2)	()	XII)	<del></del>	XIII)	(XIV)
1	Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based	<del> </del>	0	0	0	10	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	10
2	Employee Benefit Prost (under 328) (311are based	1	o	0	0	0	o	0.0000	0	o	o	0.0000	o	0.0000	0	0.0000	NA	NA	lo
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	o	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

## Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.