

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L65922RJ2011PLC034297

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAJCA2237M

(ii) (a) Name of the company

AAVAS FINANCIERS LIMITED

(b) Registered office address

201-202, 2nd Floor, South End Square
Mansarover Industrial Area, Jaipur - 302020
Jaipur
Jaipur
Rajasthan
302020

(c) *e-mail ID of the company

sharad.pathak@aavas.in

(d) *Telephone number with STD code

01416618800

(e) Website

www.aavas.in

(iii) Date of Incorporation

23/02/2011

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1
2	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted Yes No

(f) Specify the reasons for not holding the same

AGM will be held on August 10, 2021

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	AAVAS FINSERV LIMITED	U65929RJ2017PLC059623	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	85,000,000	78,504,551	78,504,551	78,504,551
Total amount of equity shares (in Rupees)	850,000,000	785,045,510	785,045,510	785,045,510

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	85,000,000	78,504,551	78,504,551	78,504,551
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	850,000,000	785,045,510	785,045,510	785,045,510

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	78,322,661	783,226,610	783,226,610	
Increase during the year	181,890	1,818,900	1,818,900	44,786,374
i. Public Issues	0	0	0	
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	181,890	1,818,900	1,818,900	44,786,374
vi. Sweat equity shares allotted	0	0	0	
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	0
Nil				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
Nil				
At the end of the year	78,504,551	785,045,510	785,045,510	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0

iii. Others, specify				
Nil	0	0	0	
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
Nil	0	0	0	
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>		
Type of transfer	<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
	Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	10,889	9344 Debentures having	15,744,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			47,852,998,487
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			18,725,130
Deposit			0
Total			63,615,723,617

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	12,794,000,000	3,500,000,000	550,000,000	15,744,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

11,031,745,615.57

(ii) Net worth of the Company

24,014,047,926.54

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	39,313,706	50.08	0	
10.	Others	0	0	0	
	Total	39,313,706	50.08	0	0

Total number of shareholders (promoters)

3

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	7,002,606	8.92	0	
	(ii) Non-resident Indian (NRI)	119,007	0.15	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	2,621,037	3.34	0	
4.	Banks	3,383	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	25,011,695	31.86	0	
7.	Mutual funds	2,846,409	3.63	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	368,680	0.47	0	
10.	Others Alternative Investment Fun	1,218,028	1.55	0	
	Total	39,190,845	49.92	0	0

Total number of shareholders (other than promoters)

25,932

**Total number of shareholders (Promoters+Public/
Other than promoters)**

25,935

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

135

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
SMALLCAP WORLD FU	As mentioned in the enclosed she			5,497,038	7
NOMURA INDIA INVES	As mentioned in the enclosed she			1,968,187	2.51
KOTAK FUNDS - INDIA	As mentioned in the enclosed she			1,587,434	2.02
BUENA VISTA ASIAN C	As mentioned in the enclosed she			1,258,991	1.6
ABU DHABI INVESTME	As mentioned in the enclosed she			859,650	1.1
THE WELLINGTON TRU	As mentioned in the enclosed she			707,349	0.9
THE NOMURA TRUST /	As mentioned in the enclosed she			634,158	0.81
ISHANA CAPITAL MAS	As mentioned in the enclosed she			575,114	0.73

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
WASATCH EMERGING	As mentioned in the enclosed she			552,534	0.7
MASSACHUSETTS INS	As mentioned in the enclosed she			523,459	0.67
WASATCH INTERNATI	As mentioned in the enclosed she			507,914	0.65
AL MEHWAR COMMEF	As mentioned in the enclosed she			462,459	0.59
AMERICAN FUNDS INS	As mentioned in the enclosed she			427,927	0.55
DESTINATIONS INTER	As mentioned in the enclosed she			392,114	0.5
VANGUARD TOTAL IN	As mentioned in the enclosed she			361,677	0.46
WELLINGTON MANAG	As mentioned in the enclosed she			354,485	0.45
FIRST STATE INVESTM	As mentioned in the enclosed she			351,995	0.45
UTI INDIA DYNAMIC E	As mentioned in the enclosed she			334,874	0.43
VANGUARD EMERGIN	As mentioned in the enclosed she			321,418	0.41
PUBLIC EMPLOYEES RI	As mentioned in the enclosed she			300,724	0.38
ONTARIO PENSION BC	As mentioned in the enclosed she			296,892	0.38
PACIFIC ASSETS TRUS	As mentioned in the enclosed she			294,951	0.38
EMPLOYEES PROVIDE	As mentioned in the enclosed she			281,993	0.36
ISHARES CORE EMERG	As mentioned in the enclosed she			274,596	0.35
PFA KAPITALFORENIN	As mentioned in the enclosed she			248,183	0.32

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	3
Members (other than promoters)	21,716	25,932
Debenture holders	10	10

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	3	1	3	3.5	0.01
(i) Non-Independent	1	0	1	0	3.5	0.01
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	5	0	5	0	0.45
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	5	0	5	0	0.45
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	8	1	8	3.5	0.46

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Sandeep Tandon	00054553	Director	0	
Sushil Kumar Agarwal	03154532	Managing Director	2,746,438	
Soumya Rajan	03579199	Director	0	
Ramachandra Kasargod	01715073	Nominee director	68,497	
Vivek Vig	01117418	Nominee director	288,246	
Nishant Sharma	03117012	Nominee director	0	
Manas Tandon	05254602	Nominee director	0	
Kartikeya Dhruv Kaji	07641723	Nominee director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Kalpana Iyer	01874130	Director	7,608	
Sharad Pathak	BAOPP5646N	Company Secretary	6,316	
Ghanshyam Rawat	ACDPR6745A	CFO	867,937	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Soumya Rajan	03579199	Director	22/07/2020	Change in Designation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	22/07/2020	22,714	95	60.74

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	14/05/2020	9	9	100
2	12/08/2020	9	9	100
3	29/10/2020	9	9	100
4	21/01/2021	9	9	100

C. COMMITTEE MEETINGS

Number of meetings held

58

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	14/05/2020	3	3	100
2	Audit Committee	12/08/2020	3	3	100
3	Audit Committee	29/10/2020	3	3	100
4	Audit Committee	21/01/2021	4	4	100
5	Nomination and Remuneration	13/05/2020	4	4	100
6	Nomination and Remuneration	28/10/2020	4	4	100
7	Stakeholders Forum	11/05/2020	4	4	100
8	Stakeholders Forum	05/08/2020	4	4	100
9	Stakeholders Forum	28/10/2020	4	4	100
10	Stakeholders Forum	20/01/2021	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Sandeep Tandon	4	4	100	13	13	100	
2	Sushil Kumar	4	4	100	51	51	100	
3	Soumya Rajaraj	4	4	100	2	2	100	
4	Ramachandra	4	4	100	2	2	100	
5	Vivek Vig	4	4	100	3	3	100	
6	Nishant Sharma	4	4	100	45	22	48.89	
7	Manas Tandon	4	4	100	45	22	48.89	
8	Kartikeya Dhruv	4	4	100	1	1	100	
9	Kalpana Iyer	4	4	100	9	9	100	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sushil Kumar Agarwal	Managing Director	19,939,976	0	0	0	19,939,976
	Total		19,939,976	0	0	0	19,939,976

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ghanshyam Rawat	CFO	14,704,104	0	0	0	14,704,104
2	Sharad Pathak	Company Secretary	1,676,879	0	4,736,743	0	6,413,622
	Total		16,380,983	0	4,736,743	0	21,117,726

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Kalpana Iyer	Independent Director	0	1,500,000	0	200,000	1,700,000
2	Sandeep Tandon	Independent Director	0	1,475,000	0	225,000	1,700,000
3	Kartikeya Dhruv Kaj	Nominee Director	0	0	0	0	0
4	Soumya Rajan	Independent Director	0	3,400,000	0	100,000	3,500,000
5	Vivek Vig	Nominee Director	0	1,600,000	0	100,000	1,700,000
6	Ramachandra Kasa	Nominee Director	0	2,300,000	0	100,000	2,400,000
7	Nishant Sharma	Nominee Director	0	0	0	0	0
8	Manas Tandon	Nominee Director	0	0	0	0	0
	Total		0	10,275,000	0	725,000	11,000,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Shashikant Tiwari

Whether associate or fellow

Associate Fellow

Certificate of practice number

13050

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

03154532

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

9587

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

MGT-8_AAVAS_2021.pdf
Clarification Letter.pdf
List of Committee Meetings.pdf
List of FII and their holding.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of Aavas Financiers Limited ("the Company") as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made there under for the financial year ended on March 31, 2021. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns ~~as stated in the annual return~~, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;

During the financial year ended on March 31, 2021, the Company was not required to file any forms and returns to the Regional Director, Central Government, Tribunal, Court or other authorities.

4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;

During the financial Year ended on March 31, 2021, the Company has not passed any resolution through postal ballot.

5. closure of Register of Members or any other security holders as the case may be.

During the financial year ended on March 31, 2021, the Company was not required to close its Register of Members/ Security holders, as the case may be.

6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;



During the financial year ended on March 31, 2021, the Company has not made any advances/ loans to its directors and/ or persons or firms or companies referred in Section 185 of the Act.

7. contracts/arrangements with related parties as specified in section 188 of the Act;

During the financial year ended on March 31, 2021, the Company has entered into transactions with related parties pursuant to Section 2(76) read with Section 188 of the Companies Act, 2013, in the ordinary course of business and at arm's length basis, therefore the provisions of section 188 of the Act were not attracted.

8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;

During the financial year ended on March 31, 2021, the Company has not made any

- transmission or Buy back of securities;
- redemption of preference shares;
- reduction of share capital;
- conversion of shares/ securities;

9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.

During the financial year ended on March 31, 2021, the Company was not required to keep in abeyance the rights to dividend, right shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.

10. declaration/ payment of dividend/ transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

During the financial year ended on March 31 2021, the Company has not declared/paid dividend. Further company was not required to make any transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act.

11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;

12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;

During the financial year ended on March 31, 2021, there was no appointment, retirement, filing up of casual vacancies of the Directors.

Further, during the financial year ended on March 31, 2021, there was no appointment, re-appointments, retirement, filling up casual vacancies of Key Managerial Personnel.



13. appointment / reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;

During the financial year ended on March 31, 2021, the Company has not made any appointment / reappointment or filling up of casual vacancies of auditors as per the provisions of section 139 of the Act.

14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

During the financial year ended on March 31, 2021, the Company was not required to take any approvals from the Tribunal, Regional Director, Court or other authorities under the provisions of the Act.

15. acceptance/ renewal/ repayment of deposits;

During the financial year ended on March 31, 2021, the Company has not made any acceptance and was not required to make any renewal / repayment of deposits.

16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;

During the financial year ended on March 31, 2021, the Company has not made any borrowings from its directors and members.

17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;

During the financial year ended on March 31, 2021, the Company has not made any loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act.

18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

During the financial year ended on March 31, 2021, the Company has not made any alteration of the Memorandum and Articles of Association of the Company.

Place: Delhi
Date: 14.07.2021

For Chandrasekaran Associates
Company Secretaries



Shashikant Tiwari
Partner

Membership No. A28994
Certificate of Practice No. 13050
UDIN: A028994C000632443

Note:

Due to restricted movement amid COVID-19 pandemic, we have reviewed and examined the Secretarial Records including Minutes, Documents, Registers and other records etc. received by way of electronic mode from the Company and could not be verified from the original records. The management has confirmed that the records submitted to us are the true and correct. This Report is limited to the Statutory Compliances on laws / regulations / guidelines listed in our report of which, the due date has been ended/expired on or before March 31, 2021 pertaining to Financial Year 2020-21.

Jan



IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

(C) COMMITTEE MEETINGS

S. No.	Type of Meeting	Date of Meeting	Total no. of members as on date of meeting	Attendance	
				No. of members attended	Percentage of attendance
1	Audit Committee	14-May-20	3	3	100
2	Audit Committee	12-Aug-20	3	3	100
3	Audit Committee	29-Oct-20	3	3	100
4	Audit Committee	21-Jan-21	4	4	100
1	Nomination and Remuneration Committee	13-May-20	4	4	100
2	Nomination and Remuneration Committee	28-Oct-20	4	4	100
1	Stakeholders Relationship Committee	11-May-20	4	4	100
2	Stakeholders Relationship Committee	5-Aug-20	4	4	100
3	Stakeholders Relationship Committee	28-Oct-20	4	4	100
4	Stakeholders Relationship Committee	20-Jan-21	4	4	100
1	Corporate Social Responsibility Committee	11-May-20	3	3	100
2	Corporate Social Responsibility Committee	28-Oct-20	3	3	100
1	Risk Management Committee	13-May-20	3	3	100
2	Risk Management Committee	5-Aug-20	3	3	100
3	Risk Management Committee	28-Oct-20	3	3	100
4	Risk Management Committee	20-Jan-21	3	3	100
1	Asset and Liability Management Committee	13-May-20	5	5	100
2	Asset and Liability Management Committee	5-Aug-20	5	5	100
3	Asset and Liability Management Committee	28-Oct-20	5	5	100
4	Asset and Liability Management Committee	20-Jan-21	5	5	100
5	Asset and Liability Management Committee	17-Feb-21	5	5	100
1	IT Strategy Committee	13-May-20	2	2	100
2	IT Strategy Committee	5-Nov-20	3	3	100
1	Customer Service and Grievance Redressal Committee	15-May-20	4	4	100
2	Customer Service and Grievance Redressal Committee	13-Aug-20	4	4	100
3	Customer Service and Grievance Redressal Committee	7-Oct-20	4	4	100
4	Customer Service and Grievance Redressal Committee	7-Jan-21	4	4	100
1	Meeting of Independent Director	16-Oct-20	3	3	100

AAVAS FINANCIERS LIMITED

(Formerly known as "Au HOUSING FINANCE LIMITED")

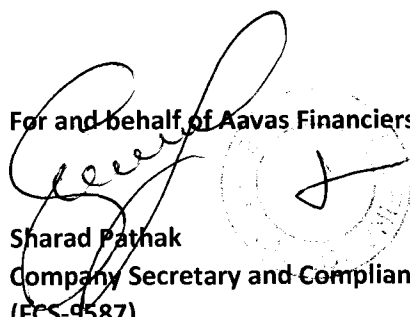
An ISO 9001: 2015 Certified Company | CIN NO.: L65922RJ2011PLC034297

Regd. & Corp. Office: 201-202, 2nd Floor, Southend Square,
Mansarovar Industrial Area, Jaipur-302020

Tel: +91 141 661 8888 | E-Mail: info@aavas.in, Website: www.aavas.in

1	Executive Committee	29-Apr-20	4	2	50
2	Executive Committee	8-May-20	4	2	50
3	Executive Committee	18-May-20	4	2	50
4	Executive Committee	20-May-20	4	2	50
5	Executive Committee	27-May-20	4	2	50
6	Executive Committee	17-Jun-20	4	2	50
7	Executive Committee	19-Jun-20	4	2	50
8	Executive Committee	22-Jun-20	4	2	50
9	Executive Committee	20-Jul-20	4	2	50
10	Executive Committee	23-Jul-20	4	2	50
11	Executive Committee	28-Jul-20	4	2	50
12	Executive Committee	10-Aug-20	4	2	50
13	Executive Committee	14-Aug-20	4	2	50
14	Executive Committee	22-Sep-20	4	2	50
15	Executive Committee	10-Oct-20	4	2	50
16	Executive Committee	17-Oct-20	4	2	50
17	Executive Committee	20-Oct-20	4	2	50
18	Executive Committee	4-Dec-20	4	2	50
19	Executive Committee	11-Dec-20	4	2	50
20	Executive Committee	15-Dec-20	4	2	50
21	Executive Committee	19-Dec-20	4	2	50
22	Executive Committee	25-Dec-20	4	2	50
23	Executive Committee	30-Dec-20	4	2	50
24	Executive Committee	23-Jan-21	4	4	100
25	Executive Committee	3-Feb-21	4	4	100
26	Executive Committee	17-Feb-21	4	4	100
27	Executive Committee	25-Feb-21	4	4	100
28	Executive Committee	1-Mar-21	4	4	100
29	Executive Committee	6-Mar-21	4	4	100
30	Executive Committee	26-Mar-21	4	4	100

For and behalf of Aavas Financiers limited


 Sharad Pathak
 Company Secretary and Compliance Officer
 (FCS-9587)

AAVAS FINANCIERS LIMITED

(Formerly known as "Au HOUSING FINANCE LIMITED")

An ISO 9001: 2015 Certified Company | CIN NO.: L65922RJ2011PLC034297

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 Mansarovar Industrial Area, Jaipur-302020

Tel: +91 141 661 8888 | E-Mail: info@aavas.in, Website: www.aavas.in



Details of FII and their holding

SR. NO.	NAME OF THE FII	ADDRESS	NUMBER OF SHARES HELD	% OF SHARES HELD
1	SMALLCAP WORLD FUND, INC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	5497038	7.00
2	NOMURA INDIA INVESTMENT FUND MOTHER FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	1968187	2.51
3	KOTAK FUNDS - INDIA MIDCAP FUND	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063	1587434	2.02
4	BUENA VISTA ASIAN OPPORTUNITIES MASTER FUND LTD	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	1258991	1.60
5	ABU DHABI INVESTMENT AUTHORITY	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	859650	1.10
6	THE WELLINGTON TRUST COMPANY NATIONAL ASSOCIATION MULTIPLE COMMON TRUST FUNDS TRUST EMERGING MARKETS LOCAL EQUITY PORTFOLIO	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	707349	0.90
7	THE NOMURA TRUST AND BANKING CO., LTD AS THE TRUSTEE OF NOMURA INDIA STOCK MOTHER FUND	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI 400001	634158	0.81
8	ISHANA CAPITAL MASTER FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	575114	0.73
9	WASATCH EMERGING INDIA FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	552534	0.70
10	MASSACHUSETTS INSTITUTE OF TECHNOLOGY WASATCH INTERNATIONAL OPPORTUNITIES FUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	523459	0.67
11	AL MEHWAR COMMERCIAL INVESTMENTS LLC - (WANDA)	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O. BOX NO. 1142, FORT MUMBAI 400001	507914	0.65
12	AMERICAN FUNDS INSURANCE SERIES GLOBAL SMALL CAPITALIZATION FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI 400064	462459	0.59
13	DESTINATIONS INTERNATIONAL EQUITY FUND, A SERIES OF BRINKER CAPITAL DESTINATIONS TRUST	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	427927	0.55
14	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	392114	0.50
15	WELLINGTON MANAGEMENT FUNDS (IRELAND) PUBLIC LIMITED COMPANY - WELLINGTON EMERGING MARKETS LOCAL EQUITY FUND	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	361677	0.46
16	FIRST STATE INVESTMENTS ICVC- STEWART INVESTORS INDIAN SUBCONTINENT SUSTAINABILITY FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	354485	0.45
17	UTI INDIA DYNAMIC EQUITY FUND	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	351995	0.45
18	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	334874	0.43
19	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	321418	0.41
20	ONTARIO PENSION BOARD - MONDRIAN INVESTMENT PARTNERS LIMITED	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	300724	0.38
21		DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	296892	0.38

22	PACIFIC ASSETS TRUST PLC	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	294951	0.38
23	EMPLOYEES PROVIDENT FUND BOARD MANAGED BY NOMURA ASSET MANAGEMENT MALAYSIA SDN BHD	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	281993	0.36
24	ISHARES CORE EMERGING MARKETS MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	274596	0.35
25	PFA KAPITALFORENING AFDELING 24 - PFA EMERGING MARKETS AKTIER	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	248183	0.32
26	INVESTERINGSFORENINGEN DANSKE INVEST	HSBC SECURITIES SERVICES11TH FLR, BLDG NO.3, NESCO - IT PARKNESCO COMPLEX, W E HIGHWAYGOREGAON EAST, MUMBAI400063	243796	0.31
27	C WORLDWIDE	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	243626	0.31
28	WASATCH EMERGING MARKETS SMALL CAP FUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	235229	0.30
29	ALPS/KOTAK INDIA GROWTH FUND	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	233122	0.30
30	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	231107	0.29
31	GOVERNMENT PENSION FUND GLOBAL	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	206550	0.26
32	GOVERNMENT OF SINGAPORE - E	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	205134	0.26
33	IC PARTNERS LONG ONLY FUND	STANDARD CHARTERED BANKSecurities Services, 3rd Floor23-25, MAHATMA GANDHI ROADFORT, MUMBAI400001	171788	0.22
34	COMMONWEALTH OF PENNSYLVANIA PUBLIC SCHOOL EMPLOYEES RETIREMENT SYSTEM/GE322-WASATCH ADVISORS INC	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	170906	0.22
35	TEACHERS RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - WASATCH ADVISORS	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	170655	0.22
36	RENAISSANCE GLOBAL SMALL-CAP FUND	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	164056	0.21
37	MORGAN STANLEY INDIA INVESTMENT FUND, INC.	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	157774	0.20
38	NOMURA FUNDS IRELAND PUBLIC LIMITED COMPANY- NOMURA FUNDS IRELAND - INDIA EQUITY FUND	HSBC SECURITIES SERVICES11TH FLR, BLDG NO.3, NESCO - IT PARKNESCO COMPLEX, W E HIGHWAYGOREGAON EAST, MUMBAI400063	152209	0.19
39	MONDRIAN EMERGING MARKETS SMALL CAP EQUITY FUND,L.P.	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	149309	0.19
40	WASATCH GLOBAL OPPORTUNITIES FUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	145478	0.19
41	STEINBERG INDIA EMERGING OPPORTUNITIES FUND LIMITED	STANDARD CHARTERED BANKSecurities Services, 3rd Floor23-25, MAHATMA GANDHI ROADFORT, MUMBAI400001	142279	0.18
42	INDIA EMERGING OPPORTUNITIES FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITEDKOTAK INFINITI, BLDG.NO.21, 6TH FLRZONE IV,CUSTODY SERVS,INFINITY PARKGEN. AK VAIDYA MARG, MALAD E, MUMBAI400097	133471	0.17
43	PENSION RESERVES INVESTMENT TRUST FUND - WASTACH	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	120243	0.15
44	SHINSEI UTI INDIA FUND (MAURITIUS) LIMITED	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	112529	0.14
45	MASSACHUSETTS INSTITUTE OF TECHNOLOGY BASIC RETIREMENT PLAN	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	109436	0.14

46	NOMURA FUNDS IRELAND PLC/NOMURA FUNDS IRELAND - ASIA EX JAPAN HIGH CONVICTION FUND	HSBC SECURITIES SERVICES11TH FLR, BLDG NO.3, NESCO - IT PARKNESCO COMPLEX, W E HIGHWAYGOREGAON EAST, MUMBAI400063	105460	0.13
47	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	105071	0.13
48	AVIVA INVESTORS	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	102199	0.13
49	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	91245	0.12
50	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	78088	0.10
51	COUNSEL GLOBAL SMALL CAP	DEUTSCHE BANK AG, DB HOUSE,HAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	67420	0.09
52	EAM EMERGING MARKETS SMALL CAP FUND, LP	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	67152	0.09
53	JKC FUND - LA FRANCAISE JKC ASIA EQUITY	BNP PARIBAS HOUSE1 NORTH AVENUE, MAKER MAXITYBANDRA KURLA COMPLEX, BANDRA EASTMUMBAI400051	66253	0.08
54	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	65336	0.08
55	ISHARES CORE MSCI EM IMI UCITS ETF	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	63701	0.08
56	EP EMERGING MARKETS SMALL COMPANIES FUND	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	62558	0.08
57	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	62121	0.08
58	INVESTERINGSFORENINGEN C WORLDWIDE AFDELING ASIEN KL	HSBC SECURITIES SERVICES11TH FLOOR, BLDG 3, NESCO - IT PARKNESCO COMPLEX, W.E. HIGHWAYGOREGAON (EAST), MUMBAI400063	60135	0.08
59	WELLINGTON INDIA OPPORTUNITIES FUND, LTD	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	59714	0.08
60	WASATCH EMERGING MARKETS SMALL CAP CIT	HSBC SECURITIES SERVICES 11TH FLOORBLDG 3 NESCO IT PARK NESCO COMPLEXW E HIGHWAY GOREGAON EASTMUMBAI400063	57041	0.07
61	JSS INVESTMENTFONDS II - JSS RESPONSIBLE EQUITY - INDIA	STANDARD CHARTERED BANK,SECURITIES SERVICES,3RD FLOOR, 23-25 M.G. ROADFORT, MUMBAI400001	52325	0.07
62	JK ASIAN INVEST, L.P.	STANDARD CHARTERED BANKSECURITIES SERVICES, 3RD FLOOR23-25 M.G. ROADFORT, MUMBAI400001	51737	0.07
63	KOTAK FUNDS - INDIA GROWTH FUND	HSBC SECURITIES SERVICES11TH FLOOR, BLDG 3, NESCO - IT PARKNESCO COMPLEX, W.E. HIGHWAYGOREGAON (EAST), MUMBAI400063	49783	0.06
64	ISHARES INDIA SC MAURITIUS COMPANY	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	49714	0.06
65	ISHARES CORE TOTAL INTERNATIONAL STOCK MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	46628	0.06
66	THE SULTANATE OF OMAN MINISTRY OF DEFENCE PENSION FUND	HSBC SECURITIES SERVICES11TH FLOOR, BLDG 3, NESCO - IT PARKNESCO COMPLEX, W.E. HIGHWAYGOREGAON (EAST), MUMBAI400063	46058	0.06
67	MORGAN STANLEY INVESTMENT FUNDS INDIAN EQUITY FUND	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	43372	0.06
68	KAPITALFORENINGEN MP INVEST, ASIEN EX. JAPAN AKTIER	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	42358	0.05
69	APT-UNIVERSAL-FONDS	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	40573	0.05
70	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	35698	0.05

71	QANTAS SUPERANNUATION PLAN	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	34798	0.04
72	COUPLAND CARDIFF FUNDS PLC - CC INDIAN SUBCONTINENT FUND	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	29660	0.04
73	SEVEN CANYONS STRATEGIC INCOME FUND	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	28712	0.04
74	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	27889	0.04
75	C WORLDWIDE INDIA	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	23757	0.03
76	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	21761	0.03
77	MERCURY SERIES UNION MID AND SMALL CAP FUND	HSBC SECURITIES SERVICES 11TH FLOORBLDG 3 NESCO IT PARK NESCO COMPLEXW E HIGHWAY GOREGAON EASTMUMBAI400063	21161	0.03
78	238 PLAN ASSOCIATES LLC	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	19573	0.02
79	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	18142	0.02
80	THREADNEEDLE INDIA FUND LIMITED	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	17812	0.02
81	IOOF INVESTMENT MANAGEMENT LIMITED AS RESPONSIBLE ENTITY FOR THE MULTIMIX WHOLESALE INTERNATIONAL SHARES TRUST - EAM GLOBAL INVESTORS LLC	BNP PARIBAS HOUSE1 NORTH AVENUE, MAKER MAXITYBANDRA KURLA COMPLEX, BANDRA EASTMUMBAI400051	17196	0.02
82	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	16502	0.02
83	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	15714	0.02
84	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	15213	0.02
85	SPDR S AND P EMERGING MARKETS ETF	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	14907	0.02
86	PUB EQUITIES EMERGING MARKETS 1	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	13054	0.02
87	VANGUARD TOTAL WORLD STOCK INDEX FUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	11356	0.01
88	SPDR S AND P EMERGING ASIA PACIFIC ETF	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	10567	0.01
89	SAMSUNG INDIA SMALL AND MID CAP FOCUS SECURITIES MASTER INVESTMENT TRUST(EQUITY)	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	10000	0.01
90	PREMIER INVESTMENT FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITEDKOTAK INFINITI, BLDG.NO.21, 6TH FLRZONE IV,CUSTODY SERVS, INFINITY PARKGEN. AK VAIDYA MARG, MALAD E, MUMBAI400097	10000	0.01
91	INVESTERINGSFORENINGEN C WORLDWIDE AFDELING EMERGING MARKETS KL	HSBC SECURITIES SERVICES11TH FLR, BLDG NO.3, NESCO - IT PARKNESCO COMPLEX, W E HIGHWAYGOREGAON EAST, MUMBAI400063	9849	0.01
92	ISHARES EMSC MAURITIUS CO	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	9845	0.01
93	C WORLDWIDE EMERGING MARKETS	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	9719	0.01

94	ISHARES MSCI EM SMALL CAP UCITS ETF	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	8990	0.01
95	BVK PERSONALVORSORGE DES KANTONS ZURICH	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	8375	0.01
96	PUB EQUITIES EMERGING MARKETS 2	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	8072	0.01
97	FIS GROUP COLLECTIVE INVESTMENT TRUST - FIS GROUP NON-US INTERNATIONAL SMALL CAP FUND	HSBC SECURITIES SERVICES11TH FLR, BLDG NO.3, NESCO - IT PARKNESCO COMPLEX, W E HIGHWAYGOREGAON EAST, MUMBAI400063	8020	0.01
98	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	7774	0.01
99	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	7537	0.01
100	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	7509	0.01
101	ARK GLOBAL EMERGING COMPANIES, LP	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	7500	0.01
102	KB INDIA GROWTH EQUITY FUND	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	7025	0.01
103	FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNATIONAL INDEX FUND	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	7023	0.01
104	THE NOMURA TRUST AND BANKING CO., LTD. AS TRUSTEE OF NITM ASIA OPEN	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	6000	0.01
105	DAIWA HOUSE INDUSTRY PENSION FUND	HSBC SECURITIES SERVICES11TH FLR, BLDG NO.3, NESCO - IT PARKNESCO COMPLEX, W E HIGHWAYGOREGAON EAST, MUMBAI400063	5990	0.01
106	INTERNATIONAL MONETARY FUND - MANAGER A	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	5643	0.01
107	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	5431	0.01
108	SPDR MSCI ACWI IMI ETF	DEUTSCHE BANK AG, DB HOUSE,HAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	5416	0.01
109	THE NOMURA TRUST AND BANKING CO., LTD. RE ASIAN BRAND STOCK MOTHER FUND	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	4912	0.01
110	UNITED CHINA - INDIA DYNAMIC GROWTH FUND	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	4675	0.01
111	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	3997	0.01
112	VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	3691	0.00
113	UTI GOLDFINCH FUNDS PLC - UTI INDIA BALANCED FUND	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	3620	0.00
114	UBS (US) GROUP TRUST	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	3613	0.00
115	MATTHEWS ASIA FUNDS - INDIA FUND	HSBC SECURITIES SERVICES 11TH FLOORBLDG 3 NESCO IT PARK NESCO COMPLEXW E HIGHWAY GOREGAON EASTMUMBAI400063	3488	0.00
116	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	3331	0.00
117	STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	3165	0.00
118	KB INDIA EQUITY FUND	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKCBANDRA - EAST, MUMBAI400098	2843	0.00

119	INVESTERINGSFORENINGEN C WORLDWIDE AFDELING INDIEN KL	HSBC SECURITIES SERVICES11TH FLOOR, BLDG 3, NESCO - IT PARKNESCO COMPLEX, W.E. HIGHWAYGOREGAON (EAST), MUMBAI400063	2240	0.00
120	CHAMPLAIN EMERGING MARKETS SMALL CAP FUND, LLC	HSBC SECURITIES SERVICES11TH FLR, BLDG NO.3, NESCO - IT PARKNESCO COMPLEX, W E HIGHWAYGOREGAON EAST, MUMBAI400063	1700	0.00
121	SUNSUPER SUPERANNUATION FUND	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	1537	0.00
122	INVESTERINGSFORENINGEN C WORLDWIDE AFDELING ASIEN - AKKUMULERENDE KL	HSBC SECURITIES SERVICES11TH FLOOR, BLDG 3, NESCO - IT PARKNESCO COMPLEX, W.E. HIGHWAYGOREGAON (EAST), MUMBAI400063	1522	0.00
123	WASATCH ADVISORS, INC.	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	1183	0.00
124	GOLDMAN SACHS (SINGAPORE) PTE. - ODI	STANDARD CHARTERED BANKSECURITIES SERVICES OPERATIONS3RD FLOOR, 23-25, M.G ROADFORT, MUMBAI, INDIA400001	1047	0.00
125	ALASKA PERMANENT FUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	899	0.00
126	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	HSBC SECURITIES SERVICES11TH FLR, BLDG NO.3, NESCO - IT PARKNESCO COMPLEX, W E HIGHWAYGOREGAON EAST, MUMBAI400063	754	0.00
127	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	CITIBANK N.A. CUSTODY SERVICESFIFC- 11TH FLR, G BLOCKPLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI400098	663	0.00
128	BRISTOW INVESTMENTS LIMITED	4TH FLOOR 19 BANKSTREET CYBERCITYEBENEMAURITIUS	644	0.00
129	FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND	JPMORGAN CHASE BANK N.A.INDIA SUB CUSTODY6th FLOOR, PARADIGM BMINDSPACE, MALAD W, MUMBAI400064	611	0.00
130	BLACKROCK GLOBAL FUNDS GLOBAL SMALLCAP FUND	DEUTSCHE BANK AGDB HOUSE, HAZARIMAL SOMANI MARGPOST BOX NO. 1142, FORTMUMBAI400001	409	0.00
131	VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND	HSBC SECURITIES SERVICES 11TH FLOORBLDG 3 NESCO IT PARK NESCO COMPLEXW E HIGHWAY GOREGAON EASTMUMBAI400063	329	0.00
132	VANGUARD INVESTMENTS FUNDS ICVC- VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	243	0.00
133	STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LENDING COMMON TRUST FUND	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	229	0.00
134	VIRGINIA RETIREMENT SYSTEM	DEUTSCHE BANK AG, DB HOUSEHAZARIMAL SOMANI MARG,P.O.BOX NO. 1142, FORT MUMBAI400001	227	0.00
135	BNP PARIBAS ARBITRAGE - ODI	BNP PARIBAS HOUSE1 NORTH AVENUE, MAKER MAXITYBANDRA KURLA COMPLEX, BANDRA EASTMUMBAI400051	78	0.00

Date: July 12, 2021

**To,
Registrar of Companies,
Ministry of Corporate Affairs,
C/6-7, 1st Floor, Residency Area,
Civil Lines, Jaipur-302001**

Sub: Clarification on filing of the form MGT-7

Dear Sir/Madam,

With respect to filing of the E-form MGT-7 for the Financial Year 2020-21, we wish to place the following clarifications:

- i. With respect to the point no.VI (b) and VII of the form, the total number of Shareholders as on March 31, 2020 and March 31, 2021 mentioned, has been arrived after consolidation of shareholding of public shareholders, promoter, and promoter group, which are having more than one folio on the basis of their Permanent Account Number (PAN).
- ii. With respect to the point no. IV and VII of the form, the total number of Debentures and Debenture holders includes 200 Rupee Denominated Bonds (Masala Bonds) held by CDC Group PLC as per list of debenture holders enclosed to this form.
- iii. With respect to the point no. VIII (A) of the form, Mrs. Kalpana Iyer-Independent Director of the Company holding 7,608 equity shares in the Company representing equity stake of 0.01% of the total paid up capital of the Company as at the March 31, 2021.

You are requested to kindly take the same on your records.

Thanking you,

FOR AAVAS FINANCIERS LIMITED


Sharad Pathak
Company Secretary and Compliance Officer
(FCS-9587)



AAVAS FINANCIERS LIMITED

(Formerly known as "Au HOUSING FINANCE LIMITED")

An ISO 9001: 2015 Certified Company | CIN NO.: L65922RJ2011PLC034297

Regd. & Corp. Office: 201-202, 2nd Floor, Southend Square,
Mansarovar Industrial Area, Jaipur-302020

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